

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
14108

In Re Application Of: Seiichi Hosoda, et al.

Serial No.
09/727,877

Filing Date
December 1, 2000

Examiner
Unassigned

Group Art Unit
Unassigned

Invention: **BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE**

RECEIVED

SEP 05 2007

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

OFFICE OF PETITIONS

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

1/16/01
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
14108

In Re Application Of: **Seiichi Hosoda, et al.**

Serial No.
09/727,877

Filing Date
December 1, 2000

Examiner
Unassigned

Group Art Unit
Unassigned

Invention: **BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☒ Fee for processing an application filed with a non-English language specification **\$130.00**

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$130.00**

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
14108

In Re Application Of: Seiichi Hosoda, et al.

Serial No.
09/727,877

Filing Date
December 1, 2000

Examiner
Unassigned

Group Art Unit
Unassigned

Invention: **BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

The fee of \$130.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1013/SSMP
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.


Signature

Dated: February 22, 2001

Thomas Spinelli
Registration No.: 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

I certify that this document and fee is being deposited on
2/22/01 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

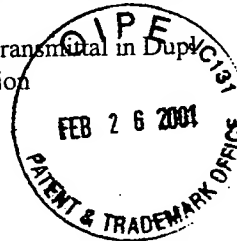
CC:



RECEIVED
SEP 05 2007
OFFICE OF PETITIONS

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Response to Notice to File Missing Parts of Application Transmitted in Duplicate
2. English Language Specification W/Certificate of Translation
3. Check in the Amount of \$130.00
4. Certificate of Mailing



Applicant: Seiichi Hosoda, et al.

Serial No.: 09/727,877

For BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE

Filed: December 1, 2000

Docket: 14108

Dated: February 22, 2001

TS:cm



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,877	12/01/2000	Seiichi Hosoda	I4108

CONFIRMATION NO. 4039

FORMALITIES LETTER



OC000000005681497

Paul J. Esatto, Jr.
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530

RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

Date Mailed: 01/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



EV 774351272 US

Mailing Label
Label 11-F, April 2004

UNITED STATES POSTAL SERVICE®

Post Office To Addressee

PRESS HARD. YOU ARE MAKING 3 COPIES.

ORIGIN (POSTAL SERVICE USE ONLY)				DELIVERY (POSTAL SERVICE USE ONLY)			
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day		Postage \$		Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Date Accepted	Scheduled Date of Delivery Month Day		Return Receipt Fee \$		Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day Year	Scheduled Time of Delivery <input type="checkbox"/> Noon <input type="checkbox"/> 3 PM		COD Fee \$	Insurance Fee \$	Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time Accepted <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day		Total Postage & Fees \$		Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Flat Rate <input type="checkbox"/> or Weight lbs. ozs.	Int'l Alpha Country Code		Acceptance Emp. Initials		<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Mail Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.		
CUSTOMER USE ONLY				<input type="checkbox"/> NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday			
METHOD OF PAYMENT: Express Mail Corporate Acct. No. X115337				Federal Agency Acct. No. or Postal Service Acct. No.			
FROM: (PLEASE PRINT) PHONE () SCULLY SCOTT MURPHY & PRESSER 400 GARDEN CITY PLZ STE 300 GARDEN CITY NY 11530-3319 C#1494/M#2002/D#14108 TS:jam				TO: (PLEASE PRINT) PHONE () Delora Dillard Randolf Building 401 Delaney Street Alexandria, VA 22314 U.S. Application No. 09/727,877			

FOR PICKUP OR TRACKING: Visit www.usps.com or Call 1-800-222-1811

1427 150

P:02 T:

EV774351272US

Mailed back
copy of
response filed
2-22-01



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MARCH 01, 2001

PTAS

SCULLY, SCOTT, MURPHY & PRESSER
PAUL J. ESATTO, JR.
400 GARDEN CITY PLAZA
GARDEN CITY, NEW YORK 11530



101558509A

RECEIVED

SEP 05 2007

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

OFFICE OF PETITIONS

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/01/2000

REEL/FRAME: 011346/0686
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HOSODA, SEIICHI

DOC DATE: 11/16/2000

ASSIGNOR:

YAMAKI, MASAHAIDE

DOC DATE: 11/16/2000

ASSIGNOR:

WATANABE, KATSUSHI

DOC DATE: 11/16/2000

ASSIGNOR:

TAKAHASHI, HIROSHI

DOC DATE: 11/16/2000

ASSIGNOR:

GOTANDA, MASAKAZU

DOC DATE: 11/16/2000

ASSIGNOR:

OSAKI, ITARU

DOC DATE: 11/16/2000

ASSIGNOR:

NAKAMURA, TAKEAKI

DOC DATE: 11/16/2000

Assignment

Docket: 14108

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, , the undersigned,

Hereby sell, assign and transfer to Olympus Optical Co., Ltd.

a corporation

of having a place of business at 43-2, Hatagaya 2-chome,
Shibuya-ku, Tokyo, Japan

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all improvements which are disclosed and claimed, and as possessed by the undersigned, any and all improvements which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned

on even date herewith *and is entitled*
BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed on any of said improvements disclosed in said application; and in and to all original and reissued patents which have been or shall be issued on said improvements;

Authorize and request the Commissioner of Patents to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said improvements, or any of them, disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent for said improvements, or any of them; and may claim, in applications for said foreign Letters Patent, the priority of the aforesaid United States application under the provisions of the International Convention; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will, for the United States and all foreign countries, execute all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent

Date: Nov. 16. 2000

Hiroshi Takahashi (L.S.)
Hiroshi TAKAHASHI

Witness: _____

Date: Nov. 16. 2000

Masakazu Gotanda (L.S.)
Masakazu GOTANDA

Witness: _____

Date: Nov. 16. 2000

Itaru Osaiki (L.S.)
Itaru OSAKI

Witness: _____

Date: Nov. 16. 2000

Takeaki Nakamura (L.S.)
Takeaki NAKAMURA

Witness: _____

Date: _____

_____ (L.S.)

Witness: _____

Date: _____

_____ (L.S.)

Witness: _____

and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee its successors, assigns and representatives, all facts known to the undersigned relating to said improvements and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: Nov. 16, 2000 Seiichi Hosoda (L.S.)
Seiichi HOSODA

Witness: _____

Date: Nov. 16, 2000 Masahide Yamaki (L.S.)
Masahide YAMAKI

Witness: _____

Date: Nov. 16, 2000 Katsushi Watanabe (L.S.)
Katsushi WATANABE

Witness: _____

12-22-2000

No.: 14108

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1996-97 LegalStar
POBA/REV02

REC



U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

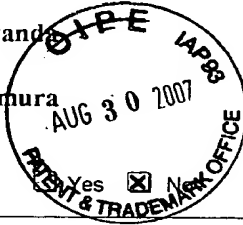
101558509

Tab settings → → → ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Seiichi Hosoda Masakazu Gotanda
Masahide Yamaki Itaru Osaki
Katsushi Watanabe Takeaki Nakamura
Hiroshi Takahashi



2. Name and address of receiving party(ies):

Name: Olympus Optical Co., Ltd.Address: 43-2, Hatagaya 2-chome, Shibuya-kuAdditional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 11/16/00City: Tokyo State/Prov.: _____Country: Japan ZIP: _____Additional name(s) & address(es) attached? ☐ Yes ☒ No

JC912 U.S. PTO
09/27/07

4. Application number(s) or registration numbers(s): 9/727877If this document is being filed together with a new application, the execution date of the application is: 11/16/00

Patent Application No. _____ Filing date _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul J. Esatto, Jr.Registration No. 30,749Address: Scully, Scott, Murphy & Presser400 Garden City PlazaCity: Garden City State/Prov.: NYCountry: USA ZIP: 115306. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

2/21/2000 6TON11 00000188 09727877

DO NOT USE THIS SPACE

FC:581

40.00 DP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul J. Esatto, Jr.

Name of Person Signing

Signature

December 1, 2000

Date

Total number of pages including cover sheet, attachments, and document:

4

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

Tab settings → → → ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Seiichi Hosoda **Masakazu Gotanda**
Masahide Yamaki **Itaru Osaki**
Katsushi Watanabe **Takeaki Nakamura**
Hiroshi Takahashi

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 11/16/00

2. Name and address of receiving party(ies):

Name: Olympus Optical Co., Ltd.Address: 43-2, Hatagaya 2-chome, Shibuya-kuCity: Tokyo State/Prov.: _____Country: Japan ZIP: _____Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: 11/16/00

Patent Application No. Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul J. Esatto, Jr.Registration No. 30,749Address: Scully, Scott, Murphy & Presser400 Garden City PlazaCity: Garden City State/Prov.: NYCountry: USA ZIP: 115306. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

19-1013/SSMP

DO NOT USE THIS SPACE

Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Paul J. Esatto, Jr.

Name of Person Signing

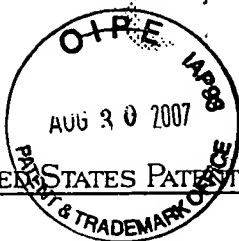
Signature

December 1, 2000

Date

Total number of pages including cover sheet, attachments, and document:

4



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/727,877	12/01/2000		836	14108	49	27	1

CONFIRMATION NO. 4039

FILING RECEIPT



OC000000005681496

Paul J. Esatto, Jr.
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530

Date Mailed: 01/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Seiichi Hosoda, Tokyo, JAPAN;
Masahide Yamaki, Tokyo, JAPAN;
Katsushi Watanabe, Tokyo, JAPAN;
Hiroshi Takahashi, Tokyo, JAPAN;
Masakazu Gotanda, Tsukui-gun, JAPAN;
Itaru Osaki, Tokyo, JAPAN;
Takeaki Nakamura, Tokyo, JAPAN;

RECEIVED

SEP 05 2007

Continuing Data as Claimed by Applicant

OFFICE OF PETITIONS

Foreign Applications

JAPAN H11-343690 12/02/1999
JAPAN H11-343692 12/02/1999
JAPAN 2000-017400 01/26/2000
JAPAN 2000-022405 01/31/2000
JAPAN 2000-347118 11/14/2000



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/727,877	12/01/2000	Seiichi Hosoda	14108

CONFIRMATION NO. 4039

ABANDONMENT/TERMINATION
 LETTER

Paul J. Esatto, Jr.
 Scully, Scott, Murphy & Presser
 400 Garden City Plaza
 Garden City, NY 11530

RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

Date Mailed: 03/28/2006

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 01/16/2001.

- No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

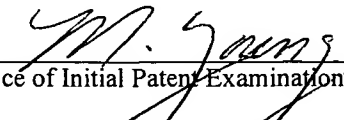
Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37

CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (571) 272-3282.

A copy of this notice MUST be returned with the reply.


Office of Initial Patent Examination (571) 272-4000, or 1-800-PTO-9199, or 1-800-972-6382
PART 1 - ATTORNEY/APPLICANT COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/727,877	12/01/2000	Seiichi Hosoda	14108

CONFIRMATION NO. 4039

FORMALITIES LETTER



OC000000005681497

Paul J. Esatto, Jr.
Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, NY 11530

Date Mailed: 01/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/10/2008 SDIRETA1 00000001 09727877

FILED UNDER 37 CFR 1.53(b)

01 FC:1053

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

Adjustment date: 11/10/2008 SDIRETA1
02/28/2001 JADD01 00000087 09727877
01 FC:105 -130.00 OP



RECEIVED

SEP 05 2007

OFFICE OF PETITIONS

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Letter to Office of Petitions
2. Copy of Notice of Abandonment Under 37 C.F.R. § 1.53(f) dated 3/28/2006
2. Copy Request to Rescind Abandonment Under 37 C.F.R. § 1.181(a) and Receipt Postcard
3. Copy of English language Specification filed 2/22/201 in response to NTFMP, Copy of
Copy of Notice to file Missing Parts dated 1/16/2001, originally filed Transmittal
and Receipt Postcard
4. Certificate of Mailing

Applicants: Seiichi Hosoda, et al.

Serial No.: 09/727,877

For: BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE

Filed: December 1, 2000

Docket: 14108

Dated: August 24, 2006

TS:cm





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seiichi Hosoda, et al.

Examiner: Unassigned

Serial No: 09/727,877

Art Unit: Unassigned

Filed: December 1, 2000

Docket: 14108

For: BATTERY-POWERED LIGHT
SOURCE DEVICE FOR ENDOSCOPE

Dated: May 1, 2006

Conf. No.: 4039

RECEIVED

SEP 05 2007

Mail Stop Petitions (OIPE)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

OFFICE OF PETITIONS

REQUEST TO RESCIND ABANDONMENT
UNDER 37 C.F.R. § 1.181(a)

Dear Sir:


Applicant has received a Notice of Abandonment dated March 28, 2006 in the above-identified application for allegedly failing to reply to the Notice to File Missing Parts issued on January 16, 2001.

Since a complete reply to the Notice to File Missing Parts was timely sent, Applicants herein petition to withdraw the holding of abandonment under 37 C.F.R. § 1.181(a).

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Petitions (OIPE), Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Dated: May 1, 2006


Thomas Spinelli

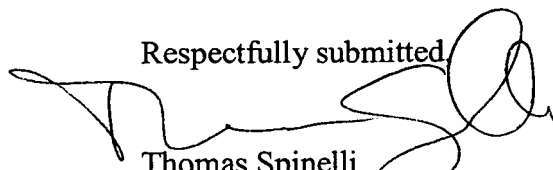
A Notice to File Missing Parts issued on January 16, 2001. A reply thereto was filed on February 22, 2001 with an English language specification, a certificate of translation and a check in the amount of \$130.00. A copy of the postcard receipt, Transmittal Letter and Response to the Notice to File Missing Parts are enclosed hereto.

Applicants would also like to note that Ms. Delora Dillard called the undersigned to request a copy of the response to the Notice to File Missing Parts in early March of 2006. Applicants sent a copy of the response to Ms. Dillard's attention along with copies of the postcard receipt and transmittal letters by Express Mail on March 15, 2006 (Express Mail No. EV774351272US). The U.S. Post Office has confirmed the same was delivered at 1:13 pm on March 16, 2006 in Alexandria, VA and signed for by K. Howard. Thus, the present petition is the third time Applicant's have responded to the Notice to File Missing Parts.

In view of the fact that Applicant made a *bona fide* response to the Notice to File Missing Parts, it is respectfully requested that the abandonment of this application be rescinded under 37 C.F.R. § 1.181(a).

Although, Applicants do not believe any fees are required, should any fee be required, please charge Deposit Account No. 19-1013/SSMP, therefore a duplicate copy of this transmittal is enclosed.

Respectfully submitted,



Thomas Spinelli
Registration No. 39,533

Scully, Scott, Murphy & Presser, P.C.
400 Garden City Plaza, Suite 300
Garden City, New York 11530
(516) 742-4343
TS:cm
Encl.



RECEIVED
SEP 05 2007
OFFICE OF PETITIONS

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Copy of Notice of Abandonment Under 37 C.F.R. § 1.53(f) dated 3/28/2006
2. Request to Rescind Abandonment Under 37 C.F.R. § 1.181(a) in Duplicate
3. Copy of English language Specification filed 2/22/201 in response to NTFMP, Copy of Copy of Notice to file Missing Parts dated 1/16/2001, originally filed Transmittal and Receipt Postcard
4. Certificate of Mailing

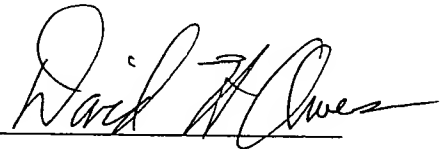
Applicants: Seiichi Hosoda, et al.
Serial No.: 09/727,877
For: BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE
Filed: December 1, 2000
Docket: 14108
Dated: May 1, 2006
TS:cm



CERTIFICATE OF TRANSLATION

I, David H. Owens, of Nishishinbashi 3 Mori Bldg. 4F, 1-4-10 Nishishinbashi,
Minato-ku, Tokyo, Japan, verify that the attached (118) pages
comprise a certified translation of the original Japanese language document of
US Serial No. 09/727,877.

Dated this 27th day of December, 2000

A handwritten signature in cursive script, appearing to read "David H. Owens", written over a horizontal line.

David H. Owens

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Preliminary Amendment W/Request for Approval of Drawings Changes
2. Transmittal in Dupl.
3. Japanese Priority Documents 11-343690; 11-343692; 2000-017400; 2000-022405; and 2000-347118
4. Certificate of Mailing

Applicant: Seiichi Hosoda, et al.

Serial No.: 09/727,877

For BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE

Filed: December 1, 2000

Docket: 14108

Dated: February 22, 2001

TS:cm

PATENT OFFICE DATE STAMP WILL ACKNOWLEDGE RECEIPT OF:

1. Preliminary Amendment W/Request for Approval of Drawings Changes
2. Transmittal in Dupl.
3. Japanese Priority Documents 11-343690; 11-343692; 2000-017400; 2000-022405; and 2000-347118
4. Certificate of Mailing

Applicant: Seiichi Hosoda, et al.

Serial No.: 09/727,877

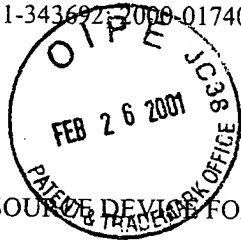
For BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE

Filed: December 1, 2000

Docket: 14108

Dated: February 22, 2001

TS:cm



TS

AMENDMENT TRANSMITTAL LETTER (Large Entity)

Applicant(s): Seiichi Hosoda, et al.

Docket No.

14108

Serial No.

09/727,877

Filing Date

December 1, 2000

Examiner

Unassigned

Group Art Unit

Unassigned

Invention: **BATTERY-POWERED LIGHT SOURCE DEVICE FOR ENDOSCOPE**TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

RECEIVED
SEP 05 2007
OFFICE OF PETITIONS**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	27 -	27 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	1 -	3 =	0 x	\$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

- ☒ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-1013/SSMP
A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Signature

Dated: February 22, 2001

Thomas Spinelli
Registration No.: 39,533**Scully, Scott, Murphy & Presser**
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

I certify that this document and fee is being deposited on
2/22/01 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Correspondence

CC:



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Seiichi Hosoda, et al.

Examiner: Unassigned

Serial No: 09/727,877

Art Unit: Unassigned

Filed: December 1, 2000

Docket: 14108

For: BATTERY-POWERED LIGHT
SOURCE DEVICE FOR ENDOSCOPE

Dated: February 22, 2001

RECEIVED

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

SEP 05 2007

OFFICE OF PETITIONS

REQUEST FOR APPROVAL OF DRAWING CHANGES

Sir:

Please approve the changes to Figure 2 of the attached drawing (1 sheet) as indicated in red ink and yellow highlighter on the copy of said drawing.

Respectfully submitted,

Thomas Spinelli
Registration No.: 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343
TS/cm

Enclosure (Amended Figure 2)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on February 22, 2001.

Dated: February 22, 2001

Mishelle Mustafa